

Katherine Glidden

Beauport Financial Services
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This Brochure Supplement provides information about Katherine Glidden, Independent Investment Advisor Representative, which supplements the Valmark Advisers, Inc. (“Valmark”) Form ADV Part 2A Brochure. Please inform Katherine Glidden at the contact information listed above if you did not receive Valmark’s Form ADV Part 2A Brochure or if you have any questions about the contents of this supplement. Additional information about Valmark and Katherine Glidden is available on the SEC’s website at www.adviserinfo.sec.gov and FINRA’s website at www.finra.org/brokercheck.

Educational Background and Business Experience

Katherine Glidden

Date of Birth: 12/17/1976

Education:

- B.A., University of Vermont

Examinations and Professional Designations:

- SEI – Securities Industry Essentials Examination
- Series 7 – General Securities Representative Examination
- Series 63 – Uniform Securities Agent State Law Exam
- Series 65 – Investment Advisors Law Exam
- CFP® – CERTIFIED FINANCIAL PLANNER™

Business Experience:

- Investment Advisor Representative, Beauport Financial Services, LLC, August 2024 - Present
- Registered Representative, Valmark Securities, Inc., August 2024 - Present
- Investment Advisor Representative, Valmark Advisers, Inc., August - Present
- Investment Advisor Representative, Compass Point Retirement Planning, Inc., 2012 – August 2024
- Marketing Director, Compass Point Retirement Planning, Inc., January 2001 –December 2002, December 2005-November 2012

CFP® – CERTIFIED FINANCIAL PLANNER™

The CFP® designation is offered and recognized by the Certified Financial Planning Board of Standards, Inc. Candidates must have a bachelor’s degree (or higher) from an accredited college or university and at least 3 years of full-time personal financial planning experience. Certificate holders must complete a CFP®-board registered program or hold one of the following designations; CPA, ChFC®, CLU®, CFA®, Ph.D. in business or economics, Doctor of Business Administration, or an Attorney’s License. Certificants

must also successfully pass a 2-day comprehensive examination, and complete 30 hours of continuing education credits every 2 years.

Disciplinary Information

Registered Investment Advisers are required to disclose material facts regarding any investment related legal or disciplinary events, including issues involving criminal or civil actions, findings resulting from administrative proceeding before the SEC, a self-regulatory body or any other federal or state regulatory authority that would be material to your evaluation of each supervised person providing investment advice.

Katherine Glidden has no disciplinary record that would impact a client's evaluation of the practice.

Other Business Activities

Katherine Glidden is a Registered Representative of Valmark Securities, Inc., a broker dealer under common ownership and management of Valmark Advisers, Inc.

Katherine Glidden is also an insurance agent affiliated with Executive Insurance Agency, Inc., an agency under common ownership and management of Valmark Advisers, Inc.

Katherine Glidden is also an investment adviser representative for Beauport Financial Services LLC., a State Registered Investment Advisor.

Additional Compensation

As a Registered Representative of an affiliated broker dealer, Valmark Securities, Inc., Katherine Glidden is eligible to receive normal commissions associated with securities sales.

Katherine Glidden is also eligible to receive insurance commissions surrounding the sales of insurance products sold through the affiliated insurance agency, Executive Insurance Agency, Inc.

Katherine Glidden is also an investment advisor representative of Beauport Financial Services, LLC and is eligible to receive financial planning fees associated with work performed for customers of the advisory firm. These fees are separate from fees earned for work with Valmark Advisers, Inc.

Katherine Glidden received a \$100,000 loan from Valmark Financial Group, LLC, (VFG) the ultimate corporate parent of Valmark Advisers, Inc., (VAI) in 2024 to help defray the cost of transitioning from another firm. The loan is forgivable by 20% (\$20,000) in each of the following five years so long as Katherine: 1) maintains her securities registration through Valmark Securities, Inc. 2) maintains her advisory registration with VAI, 3) attends the most recent Valmark Member Summit held before any applicable forgiveness credit, 4) achieves at least \$400,000 of total annual production as measured by VFG in each of the next five years, and 5) achieves and maintains at least \$25M AUM in any combination of accounts in the VWS advisory account programs, including TOPS, TOPS Core, Flex, or VWS Custom, in each of the next 5 years.

The receipt of this loan presents a conflict of interest in that Katherine has a financial incentive to remain with VAI and to recommend Valmark Financial Group, LLC's affiliates' services and products. Katherine and VAI mitigate this conflict by adhering to the VAI Code of Ethics and only recommend services and products that are in the client's best interest based on each client's specific needs and objectives.

Supervision

Katherine Glidden is an independent investment advisor representative. However, before accepting and implementing investment strategies recommended, supervisory principals at the Valmark home office review and approve of the investment strategies. Accounts are reviewed periodically, usually at least annually by the independent advisor. The home office compliance team also periodically reviews accounts and strategies. These initial and ongoing reviews are under the direction of the OSJ Principal, Frank Lessick, who may be reached at 330-576-1234 to discuss questions or concerns in connection with the internal compliance program.